



Attorney Docket No. Patent  
032905-010

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Kevin C. HAZEN et al.

Application No.: 09/913,850

Filing Date: December 14, 2001

Title: YEAST CELL WALL PEPTIDES AND ANTIBODIES THERETO

Group Art Unit: 1645

Examiner: Robert A. Zeman

Confirmation No.: 5481

FIRST  
INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is a FIRST Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☐ No additional fee for submission of an IDS is required.
- ☒ The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☒ A check in the amount of \$ 180.00 is enclosed for the fee due.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

Date: February 27, 2004

By

  
Mercedes K. Meyer

Registration No. 44,939

BURNS DOANE

BURNS DOANE SWECKER & MATHIS LLP  
INTELLECTUAL PROPERTY LAW

FIRST  
INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER

(1/04)



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For: YEAST CELL WALL PEPTIDES AND	)	
ANTIBODIES THERETO	)	

**FIRST INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The documents are being submitted after a first Office Action on the merits but prior to the closing of prosecution, therefore under 37 C.F.R. § 1.97(c), the fee set forth in 37 C.F.R. § 1.17(p) is enclosed.

A fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is enclosed.

To assist the Examiner, the document is / documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

03/03/2004 MMEKONEN 00000075 09913850

01 FC:1806

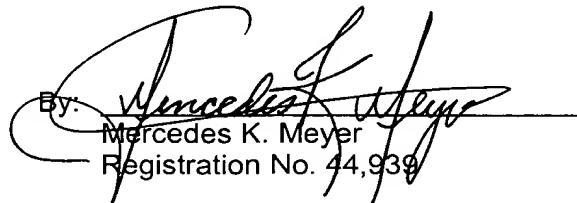
180.00 DP

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date February 27, 2004

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By:   
Mercedes K. Meyer  
Registration No. 44,939

FEB 27 2004

**FIRST  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	09/913,850
Filing Date	December 14, 2001
First Named Inventor	Kevin C. HAZEN et al.
Examiner Name	Robert A. Zeman
Attorney Docket Number	032905-010

**U.S. PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	Translation	
					Yes	No
	WO 96/41882	A1	WIPO	12-27-1996		

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	GLEE <i>et al.</i> , "Aggregation of Hydrophobic Cell Wall Proteins of <i>Candida albicans</i> ", Colloids and Surfaces B: Biointerfaces, 1995, pgs. 181-188, Vol. 5, Elsevier, New York, New York, USA
	Notification of Transmittal of the International Search Report or the Declaration and International Search Report for International Application No. PCT/US00/04228 mailed June 27, 2000
	SEGRS <i>et al.</i> , "Isolation and Characterisation of Five Different Hydrophobin-Encoding cDNAs from the Fungal Tomato Pathogen <i>Cladosporium fulvum</i> ", Mol. Gen. Genet., 1999, pgs. 644-652, Vol. 261, Nos. 4-5, Springer-Verlag, New York, New York, USA
	DE VRIES <i>et al.</i> , "Identification and Characterization of a Tri-Partite Hydrophobin from <i>Claviceps fusiformis</i> ", Eur. J. Biochem., 1999, pgs. 377-385, Vol. 262, No. 2, Blackwell Science, Berlin, Germany
	PENAS <i>et al.</i> , "Identification, Characterization, and In Situ Detection of a Fruit-Body-Specific Hydrophobin of <i>Pleurotus ostreatus</i> ", Applied and Environmental Microbiology, 1998, pgs. 4028-4034, Vol. 64, No. 10, American Society for Microbiology, Washington, D.C., USA
	ASGEIRSDOTTIR <i>et al.</i> , "Identification of Three Differentially Expressed Hydrophobins in <i>Pleurotus ostreatus</i> (oyster mushroom)", Microbiology, 1998, pgs. 2961-2969, Vol. 144 (Pt II), Society for General Microbiology, Reading, England

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.